



Understanding Sarbanes-Oxley and Internal Control

Programme Code: S090731S

Speaker	Mr. Job Tang , Principal of SHINEWING Risk Services Limited Mr. Tang is a fellow and practising member of HKICPA. He has over 15 years of experience in auditing, financial due diligence, accounting, risk management and internal control assessment for listed companies across various industries. He specialises in corporate governance consultancy in respect of Sarbanes-Oxley 404 compliance reviews for listed corporations in the United States. Currently, he is a committee member of the Information Systems Audit and Control Association (ISACA) on IT professional development.
Date	Friday, 31 July 2009
Time	6:30 p.m. – 8:00 p.m.
Venue	Hong Kong Institute of CPAs Training Centre, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
Enrolment fee	HK\$190 for HKICPA member or student; and IA/HKIAAT's member or student HK\$180 for online enrolment HK\$330 for non-member
Language	English
Format	Seminar, including practical examples and case studies
Subject / Level	Corporate Governance / General - for delegates who do not have prior knowledge of the subject area
Target Audience	Parties involved in structuring, assessing and conducting internal controls of corporations
CPD credit	1.5 hours

About the programme

Companies throughout the world are required to comply with different corporate governance regulatory requirements. Following the passage of the US Sarbanes-Oxley Act of 2002 (SOX), and the subsequent introduction of regulations in Europe (E-SOX), Japan (J-SOX) and China (C-SOX), corporations listed on the stock exchange in these countries have to fulfil the requirements.

SOX is the most significant audit and corporate governance reform in recent history. Section 404 places great and real challenges on those accounting professionals working with listed companies. The globalisation of financial services and the increasing need to embrace corporate governance to meet world-class standards and challenges require specialised and integrated skills in this professional field. Accounting professionals should have a broad and in-depth understanding of SOX regulations, internal control frameworks, recent developments in governance, regulatory requirements and management practices.

The seminar aims to provide participants with an understanding of the following:

- An overview of SOX and its implications
- Internal control frameworks
- Compliance road map
- Recent international trends