



Internal Control Assessment Techniques for External Auditors

Programme Code: S090730

Speaker	Mr. Ricky Cheng , Director, Risk Advisory Services, BDO Financial Services Limited. Mr. Cheng has over 10 years of experience in both external and internal auditing including commercial organizations and Big 4 accounting firms. Mr. Cheng has in-depth knowledge and experience in corporate governance, risk management and internal control review. Mr. Cheng frequently participates in public speaking in related topics. Recently, Mr. Cheng has given a seminar to the members of the Institute relating to INEDs. Mr. Cheng is a fellow member of the ACCA.
Date	Thursday, 30 July 2009
Time	6:30 p.m. – 9:30 p.m.
Venue	Hong Kong Institute of CPAs Training Centre, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
Enrolment fee	HK\$430 for HKICPA member or student; and IA/HKIAAT's member or student HK\$420 for online enrolment HK\$700 for Non-member
Language	Cantonese
Format	Seminar
Subject / Level	Corporate Governance / Intermediate
Target Audience	Practicing CPAs
CPD credit	3 hours

About the programme

This seminar will cover the following areas:

- What is internal control?
- The framework.
- Major COSO components.
- Control maturity model.
- Assessment of internal controls
 - Understanding financial assertions.
 - Identifying relevant control objectives and controls.
 - Assessing internal control effectiveness.
 - Linking identified controls to audit procedures.