Compliance Department Forum

Think Compliance

Date 16 April 2015 (Thursday)

Time 6:30 – 8:00 p.m.

Venue HKICPA Training Centre, 27/F, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong

Speakers Members of the Compliance Department:
Ms. Linda Biek, Director
Ms. Elaine Chung, Associate Director

Highlights
- Learn how to handle an enquiry from the Compliance Department
- Understand how a complaint affects you
- Hear about common findings from past cases
- Participate in Q&A session with Compliance Department members

Competency Audit and Assurance; Accounting and Financial Reporting; Ethics and Regulations

Rating* Foundation and Intermediate Level
* Please click here for the Rating details

Target audience Professional accountants in public practice and in business

Language English

Fee $190 for HKICPA member
$330 for Non-member

CPD credit hour 1.5 hours

Enrolment deadline 13 April 2015

Acceptance is on a first-come, first-served basis. Priority will be given to HKICPA members. An email confirmation on enrolment will be issued to participants at the latest by 15 April 2015.

Payment & Enrolment Status Enquiry
Tel: 2287 7381
Email: finance@hkicpa.org.hk

Event Information Enquiry
Tel: 2287 7057/220
Email: yvonnechew@hkicpa.org.hk
Compliance Department Forum – Think Compliance (Re-Run)

Date: Thursday, 16 April 2015
Time: 6:30 p.m. – 8:00 p.m.
Venue: HKICPA training centre, 27/F, Wu Chung House, 213 Queen’s Road East, Wan Chai, Hong Kong

(Event Code : CF150416)

Enrolment Deadline: 13 April 2015

Please allow 4 days to process your application and payment. You can check your enrolment status at “My CPA” at www.hkicpa.org.hk. Unsuccessful enrolment will be notified by 15 April 2015 with full refund.

(One form for one participant)

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<th>Name: (Mr./Mrs./Ms.)</th>
<th>HKICPA Membership no.:</th>
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<td>Practice name:</td>
<td>Email:</td>
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<td>Position held:</td>
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Please put a “✓” in the appropriate box:

- Check (✓) HK$190 per person (HKICPA member or registered student)
- Check (✓) HK$330 per person (non-HKICPA member)

- Check VISA / MasterCard
- Check BOC HKICPA UnionPay card
- Check Cheque (no.____________________) payable to “Hong Kong Institute of Certified Public Accountants” or “HKICPA”

- Card Number: Card Expiry Date (MM/YY):
- Cardholder’s Name (block letters): Cardholder’s Signature: Date:

- Check I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above.)

Notes:

1. Enrolments are accepted on a first-come, first-served basis. Priority will be given to HKICPA members. No telephone reservation will be accepted.
2. Full payment must be made before an application will be processed.
3. Confirmation will be issued by email to participants latest by 15 April 2015. If you do not receive it by then, please contact Ms. Yvonne Chew / Ms. Kay Choy at 2287 7057/220.
4. No refund will be made for cancellation after payment has been received by the Institute. However, an alternate to attend the forum may be accepted in case the participant is unable to attend. Please inform the Institute 1 day before the event if such an arrangement is required.
5. If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or 2:30 p.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
6. The Institute reserves the right to change the venue, date and / or speaker of the event due to unforeseen circumstances.

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Personal Data: Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link https://www.hkicpa.org.hk/en/members-area/comm-preference/. Non-members may opt out of receiving such materials at any time by sending an email to e's privacy officer. For more information about the privacy policy of the Institute, please go to https://www.hkicpa.org.hk/en/service/tools/privacy-policy/

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled.

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Finance & Operations Department
Hong Kong Institute of CPAs
37th Floor, Wu Chung House
213 Queen's Road East, Wan Chai
Hong Kong
Fax no.: 2893 9853